



Mutual Fund Prospectus Receipt Form

CLIENT NAME

PERSHING ACCOUNT # (IF APPLICABLE)

MUTUAL FUND FAMILY

TOTAL EXCHANGE AMOUNT

Mutual Fund Exchange Information

1. The decision to exchange from the mutual fund(s) to another/others was proposed by:

Me (Client) My Registered Representative

2. I am exchanging from the mutual fund(s) to another/others for the following reason(s):

Mutual Fund Expenses

Annual Mutual Fund Operating Expenses

NAME OF NEW MUTUAL FUND(S)	INVESTMENT AMOUNT	PROSPECTUS DATE	SEE PAGE(S) _____ OF THE PROSPECTUS FOR ANNUAL OPERATING EXPENSES

Fees and expenses, including early redemption, exchange or short-term trading fees, will vary among funds. Please refer to each fund's prospectus for information specific to that fund.

Signatures

I have received a/an *paper* copy OR *electronic* copy of the prospectus, which contains more complete information about risks, fees, and expenses. I have read and understand it, and my questions, if any, have been addressed. This investment is consistent with my investment objective(s), and I am able to tolerate the risks associated with it.

CLIENT SIGNATURE

DATE

JOINT CLIENT SIGNATURE

DATE

CLIENT (PRINT NAME)

JOINT CLIENT (PRINT NAME)

RR SIGNATURE

DATE

DIVISION #

REP #

PRINCIPAL SIGNATURE

DATE

REP #